

# Dispersion relation for SRS-driven plasma waves with adiabatic electron response

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# We model the nonlinear frequency shift of SRS-driven plasma-waves\*

- Plasma-wave dispersion relation:

$$1 + \alpha_d \text{Re}[\chi] = 0$$

↑  
pond. drive

**\*This work submitted to  
Phys. Plasmas (Letters).**

- $\alpha_d$ : ponderomotive drive from light waves:
  - $\alpha_d = 1$  for free waves (undriven)<sup>2,3</sup>.
  - $\alpha_d$  related to scattered-wave equation; self-consistent theory particular to SRS.
  - $\alpha_d \rightarrow 1$  as SRS grows (wave becomes nearly resonant).
- **Re[ $\chi$ ]**: electron susceptibility from nonlinear, adiabatic calculation<sup>1</sup>.
- *Both  $\alpha_d$  and Re[ $\chi$ ]* change nonlinearly and reduce plasma-wave frequency.
- We observe, in Eulerian kinetic simulations, inflation<sup>4</sup> for  $k_p \lambda_D$  up to 0.58:
  - Our dispersion relation agrees with measured plasma-wave frequency.
  - Inflation occurs even for  $k_p \lambda_D > 0.53$  “loss of resonance” cutoff<sup>5</sup>.
  - Because our theory includes amplitude dependence of phase velocity, it does not have a loss-of-resonance cutoff.

**Frequency shift an ingredient in envelope equations  
that capture kinetic effects.**

<sup>1</sup> D. Bénisti and L. Gremillet, Phys. Plasmas **14**, 042304 (2007).

<sup>2</sup> R. L. Dewar, Phys. Fluids **15**, 712 (1972).

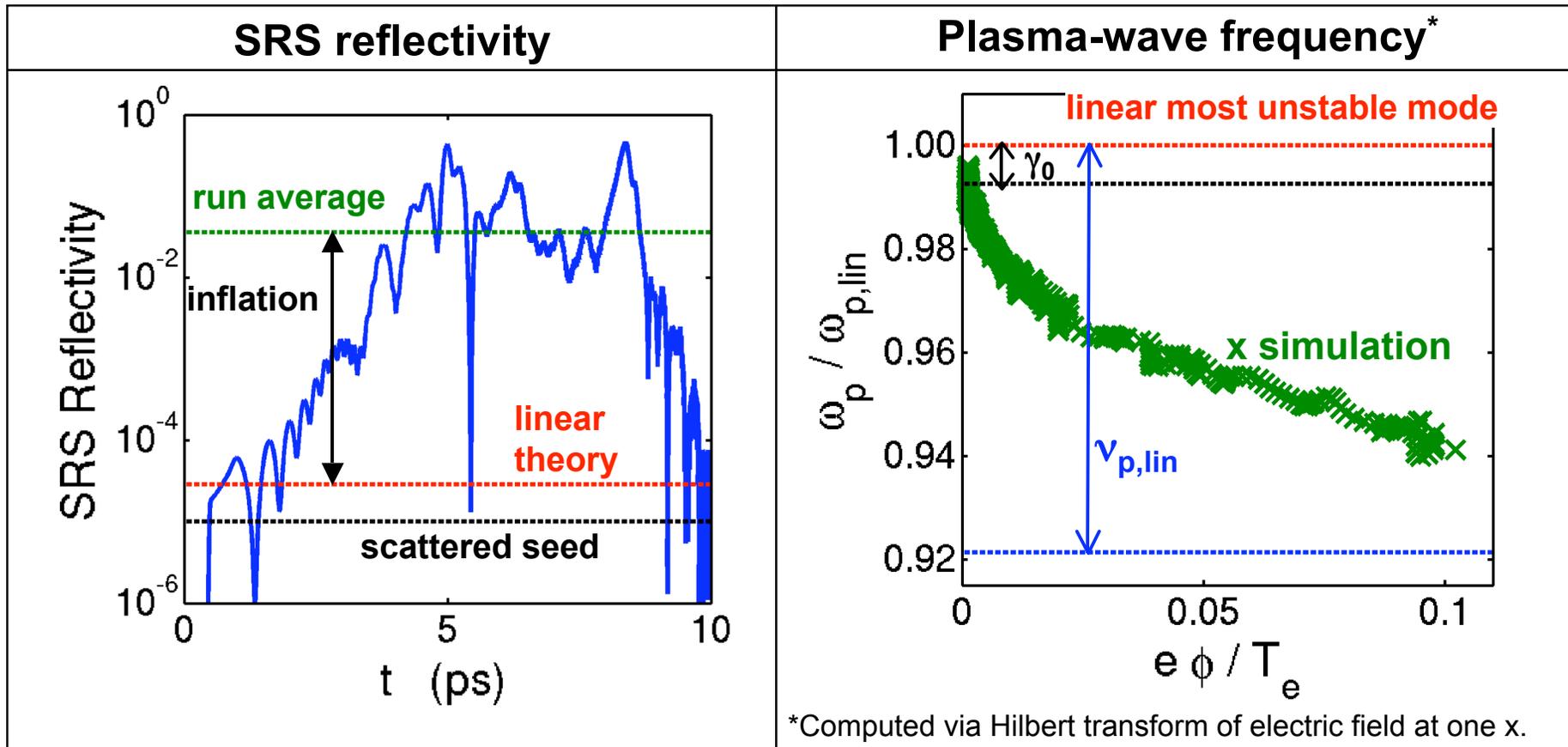
<sup>3</sup> G. J. Morales and T. M. O’Neil, Phys. Rev. Lett. **28**, 417 (1972).

<sup>4</sup> H. X. Vu, D. F. DuBois, and B. Bezzerides, Phys. Rev. Lett. **86**, 4306 (2001).

<sup>5</sup> H. A. Rose and D. A. Russell, Phys. Plasmas **8**, 4784 (2001).

# Kinetic simulations of SRS quantify the plasma-wave frequency shift accompanying inflation

$\lambda_0=351$  nm,  $T_e=5$  keV,  $n_e/n_{cr}=0.1$ ,  $I_0=2$  PW/cm<sup>2</sup>  $\rightarrow$   $k_p\lambda_D=0.448$



**Measured frequency downshift comparable to undamped growth rate  $\gamma_0$  and linear Landau damping rate  $v_{p,lin}$ .**

**$\therefore$  Cannot ignore frequency shift in envelope equations.**

Simulations: Vlasov-Maxwell solver ELVIS [D. J. Strozzi et al., Phys. Plasmas 14, 013104 (2007)]

# Plasma-wave dispersion relation including ponderomotive drive

**3-wave model:**  $\vec{E} = E_p \sin(\varphi_p) \hat{x} + [E_l \sin \varphi_l + E_s \cos \varphi_s] \hat{y}$

## Nonlinear Raman dispersion relation

ponderomotive drive

$1 + \alpha_d \text{Re}\chi = 0 \quad \rightarrow \quad \omega_p$

$$\alpha_d \equiv \frac{1 + 2\eta^{-1} \sin(\delta\varphi) + \eta^{-2}}{1 + \eta^{-1} \sin(\delta\varphi)}$$

$$\delta\varphi \equiv \varphi_p + \varphi_s - \varphi_l \quad \text{phase mismatch}$$

$$E_d \equiv \frac{ek_p}{2m\omega_l\omega_s} E_l E_s \quad \text{pond. drive}$$

$$\eta \equiv \frac{E_p}{E_d} \sim \frac{\omega_p}{\nu_{p,\text{Landau}}} \quad \text{plasma response pond. drive}$$

$\delta\phi$  and  $\eta$  found self-consistently from scattered wave envelope equation.

## Simple linear analog

$$\partial_t f + v \partial_x f - \frac{e}{m} (E_x + [\vec{v} \times \vec{B}]_x) \partial_v f = 0$$

ponderomotive force from light waves

Fourier, linearize  $\rightarrow (1 + \chi) E_p = -\chi E_d$

$(1 + \alpha_d \chi) E_p = 0$

$$\alpha_d = 1 + \frac{E_d}{E_p}$$

$$E_d = [\vec{v} \times \vec{B}]_{x,res}$$

### Inflation:

As wave grows, trapping reduces Landau damping:

$$\nu_{p,\text{Land.}} \searrow \gg E_d/E_p \searrow \gg \alpha_d \rightarrow 1$$

# Adiabatic calculation of $\text{Re}[\chi]$ , and prior electrostatic theories

- $\text{Re}[\chi]$  for growing wave from adiabatic approximation<sup>1</sup>:

$$1 + \alpha_d \text{Re}[\chi] = 0$$

- Valid for slowly-varying fields:  $\gamma \equiv \frac{1}{E_p} \frac{\partial E_p}{\partial t} < 0.05 \omega_{pe}$
- Based on weak action conservation even for separatrix-crossing orbits<sup>2</sup>.
- Accounts for amplitude dependence of phase velocity.
- No limitation on  $k_p \lambda_D$  or  $\omega_{\text{bounce}}$ .

- Electrostatic theories with constant phase velocity:

$$1 + \text{Re}[\chi] = 0; \quad \alpha_d \rightarrow 1$$

- Dewar<sup>3</sup>: adiabatically-excited wave, perturbative:

$$\delta\omega_D = \frac{1.09 f_0''(v_p) \omega_{lin}}{1 + (k_p \lambda_D)^2 - \frac{\omega_{lin}^2}{\omega_{pe}^2}} \left[ \frac{e\phi}{T_e} \right]^{1/2} \quad \text{strictly valid for } k_p \lambda_D \leq 0.3$$

- Morales<sup>4</sup>: suddenly-excited wave, perturbative: Dewar 1.09  $\rightarrow$  1.63.
- Rose<sup>5</sup>: BGK steady-state, non-perturbative.

- Bounce-kinetic model<sup>6</sup>: driven plasma waves; bounce motion = fast time scale:  $\omega_{\text{bounce}} \gg \gamma$ .

<sup>1</sup> D. Bénisti, L. Gremillet, Phys. Plasmas **14**, 042304 (2007).

<sup>2</sup> J. R. Cary, D. F. Escande, J. L. Tennyson, Phys. Rev. A **34**, 4256 (1986).

<sup>3</sup> R. L. Dewar, Phys. Fluids **15**, 712 (1972); <sup>4</sup> G. J. Morales and T. M. O'Neil, Phys. Rev. Lett. **28**, 417 (1972).

<sup>5</sup> H. A. Rose and D. A. Russell, Phys. Plasmas **8**, 4784 (2001); <sup>6</sup> D. C. Barnes, Phys. Plasmas **11**, 903 (2004).

# Plasma-wave frequency drops due to both ponderomotive drive ( $\alpha_d \rightarrow 1$ ) and nonlinearity in $\text{Re}[\chi]$

$$\omega_{srs} : 1 + \alpha_d \text{Re}\chi = 0$$

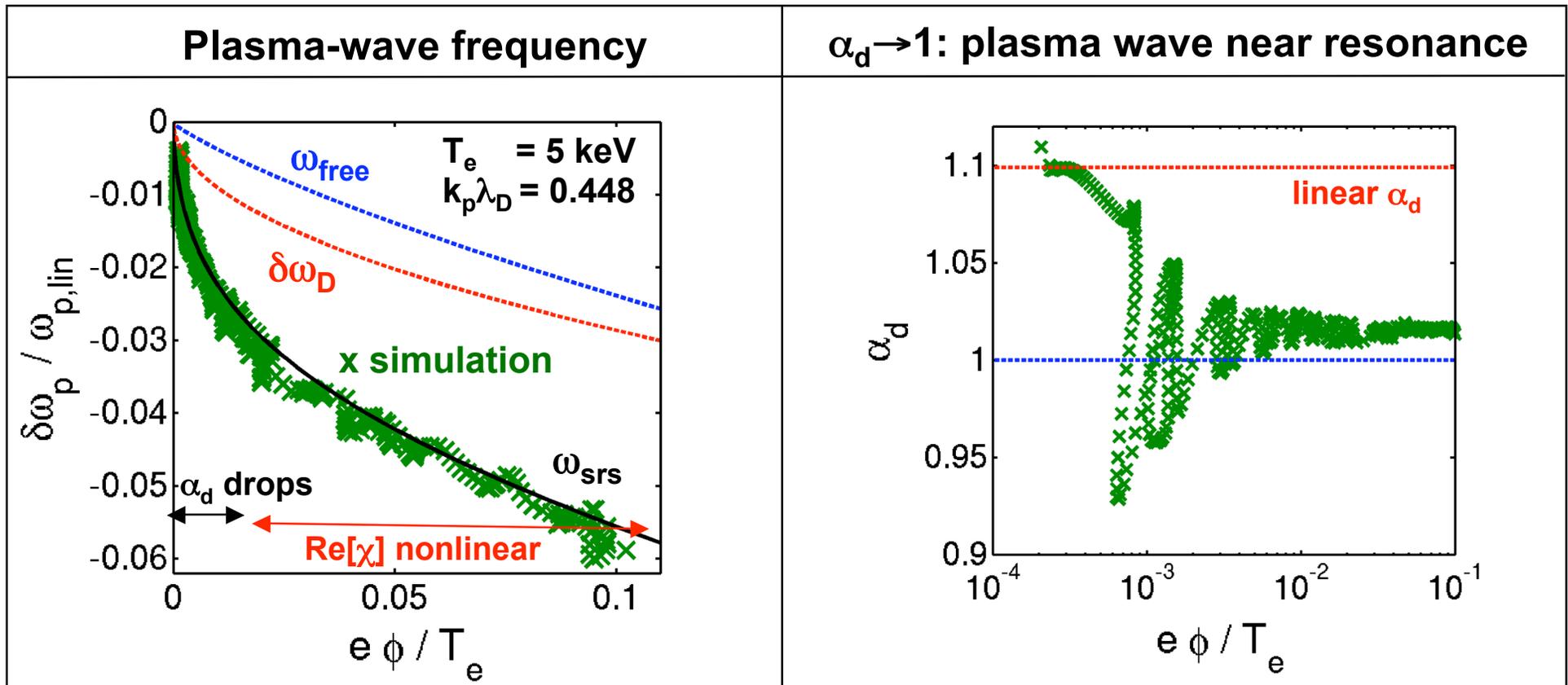
- $\alpha_d$  = SRS ponderomotive drive

$$\omega_{free} : 1 + \text{Re}\chi = 0$$

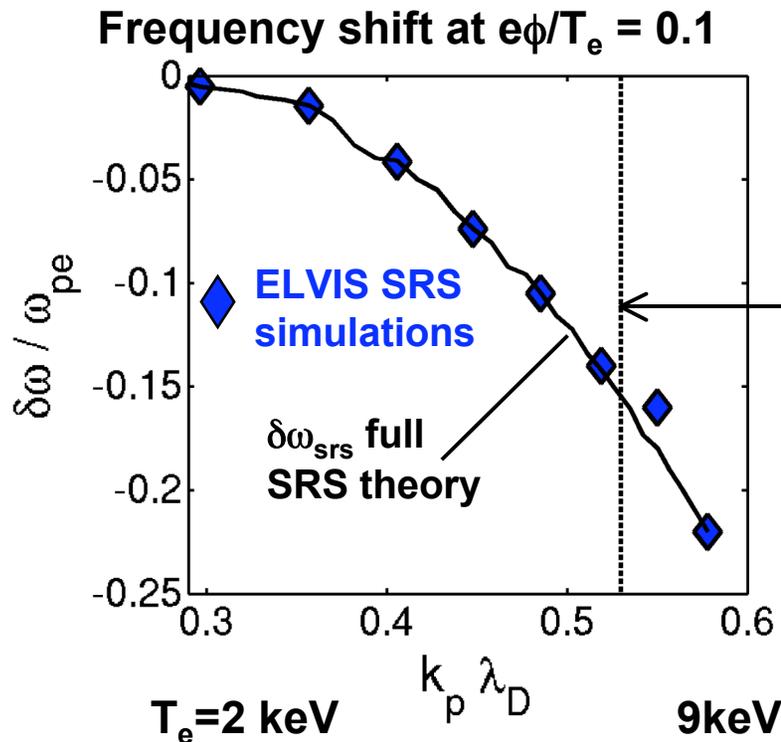
- $\text{Re}[\chi]$  from adiabatic theory (Bénisti PoP '07)

$$\delta\omega_D = \frac{1.09 f_0''(v_p) \omega_{lin}}{1 + (k_p \lambda_D)^2 - \frac{\omega_{lin}^2}{\omega_{pe}^2}} \left[ \frac{e\phi}{T_e} \right]^{1/2}$$

- Dewar “adiabatic,” electrostatic calculation



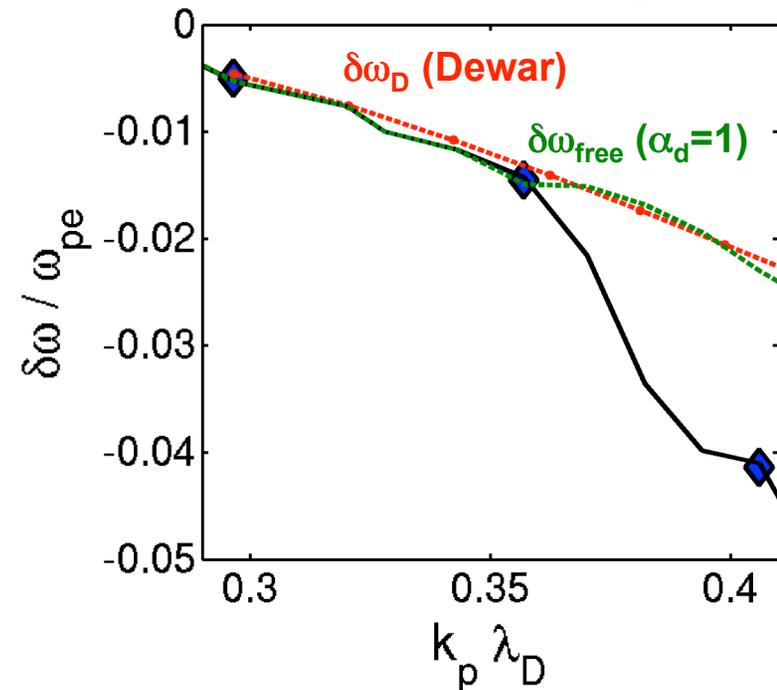
# We observe inflation and model the frequency shift over a range of $k_p \lambda_D$ , including $k_p \lambda_D > 0.53$ “loss of resonance” cutoff



$\lambda_0 = 351 \text{ nm}$ ,  $n_e/n_{cr} = 0.1$

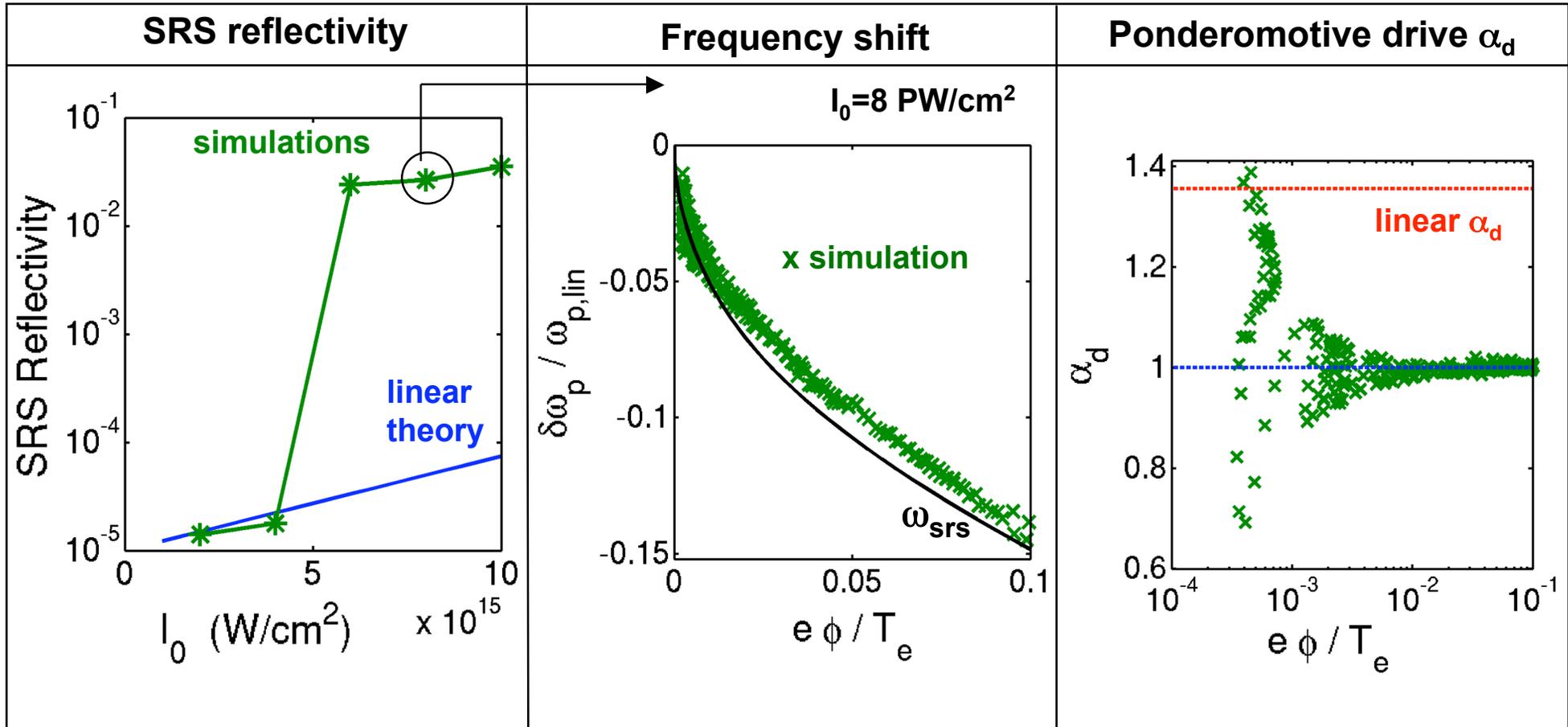
$k_p \lambda_D = 0.53$ : “loss of resonance” cutoff for  $\phi = 0$ :  
no linear solution of  $1 + \text{Re}[\chi] = 0$

## Undriven theories good for $k_p \lambda_D < 0.35$



# SRS Inflation observed in simulations above “loss of resonance” cutoff; adiabatic theory matches frequency well

$\lambda_0=351$  nm,  $T_e=9$  keV,  $n_e/n_{cr}=0.1 \rightarrow$   $k_p\lambda_D=0.578$  “high”



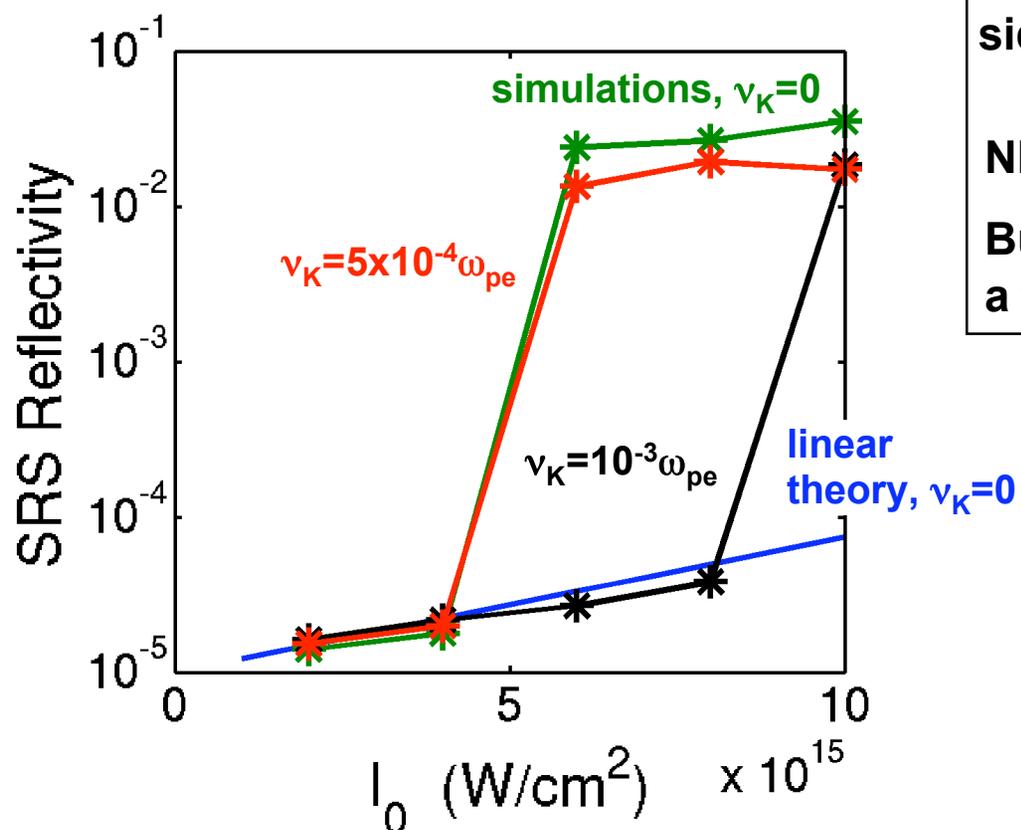
- SRS drives coherent, large amplitude plasma wave above  $k_p\lambda_D=0.53$  “loss-of-resonance” cutoff.
- Our dispersion relation has no loss-of-resonance cutoff, due to amplitude-dependent phase velocity.

**But, speckle sideloss and collisions raise the inflation intensity threshold.**

# Krook relaxation raises the threshold intensity for inflation

Krook relaxation (mimics speckle sideloss or collisions):  $\left. \frac{df}{dt} \right|_{\text{Vlasov}} = \nu_K \cdot (f_0 - f)$

$\lambda_0=351 \text{ nm}$ ,  $T_e=9 \text{ keV}$ ,  $n_e/n_{cr}=0.1$ ,  $\rightarrow$   **$k_p \lambda_D=0.578$**  “high”



sideloss:  $\nu_K = \frac{v_{Te}}{L_{\perp}}$ ;  $L_{\perp} = F \lambda_0$

NIF:  $F=8$   $\lambda_0=351 \text{ nm}$ ,  $\nu_K=8.4 \times 10^{-3} \omega_{pe}$

But this run was for  $75 \mu\text{m}$  length, not a full speckle.

# Conclusions

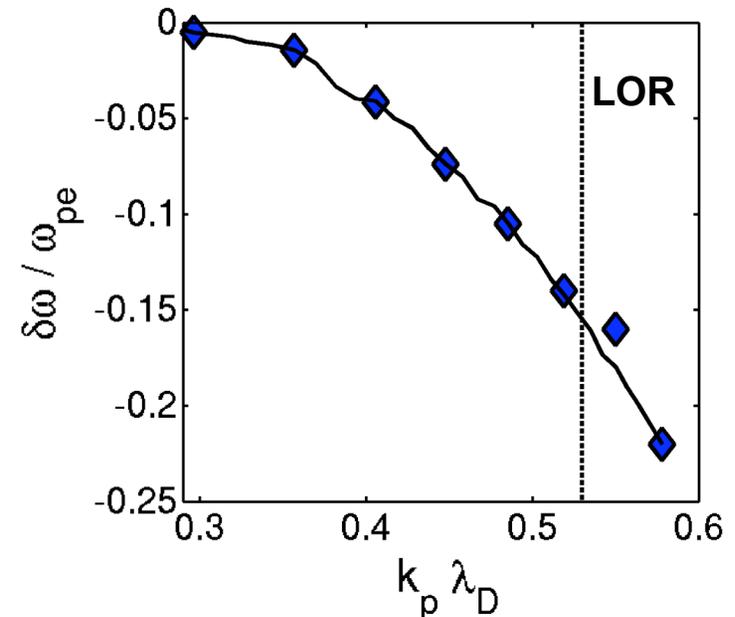
- Our dispersion relation for SRS-driven plasma waves:

$$1 + \alpha_d \text{Re}[\chi] = 0$$

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pond. drive

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- Low amplitude:  $\alpha_d \rightarrow 1$ ; wave nearly resonant; gives frequency downshift.
- High amplitude: Nonlinearity in  $\text{Re}[\chi]$  gives frequency downshift.
- Electrostatic theories (no ponderomotive drive,  $\alpha_d = 1$ ) under-estimate plasma-wave frequency shift for  $k_p \lambda_D > 0.35$ .
- Inflation observed for  $k_p \lambda_D$  up to 0.58 (no “loss of resonance” cutoff).
  - Our dispersion relation agrees with frequency shift measured in kinetic simulations.
  - Amplitude-dependent phase velocity in  $\text{Re}[\chi]$  relaxes loss-of-resonance cutoff.
  - Sideloss (modeled by Krook relaxation) significantly raises the inflation threshold.



# Our model: scattered and plasma wave self-consistently coupled

$$\vec{E} = E_p \sin(\varphi_p) \hat{x} + [E_l \sin \varphi_l + E_s \cos \varphi_s] \hat{y}$$

## Raman-driven dispersion relation

ponderomotive drive

$$1 + \alpha_d \text{Re}\chi = 0 \quad \rightarrow \quad \omega_p$$

$$\alpha_d \equiv \frac{1 + 2\eta^{-1} \sin(\delta\varphi) + \eta^{-2}}{1 + \eta^{-1} \sin(\delta\varphi)}$$

$$\delta\varphi \equiv \varphi_p + \varphi_s - \varphi_l$$

$$E_d \equiv \frac{ek_p}{2m\omega_l\omega_s} E_l E_s$$

$$\eta \equiv \frac{E_p}{E_d} = \frac{\text{Re}\chi}{\text{Im}\chi} \cos \delta\varphi - \sin \delta\varphi$$

$$\sim \frac{\omega_p}{\nu_{p,\text{Landau}}}$$

## Scattered light wave envelope equation: from Maxwell equations

$$[\partial_t + v_{gs} \partial_x + i\Delta_s^{res}] E_s = \Gamma_0 E_p e^{i\delta\varphi}$$

$$\Gamma_0 \equiv \frac{ek_p}{4m\omega_l\omega_s} E_l$$

$$\rightarrow (\gamma_s + i\Delta_s^{res}) E_s = \eta \Gamma_0^2 e^{i\delta\varphi}$$

$$\gamma_s \equiv E_s^{-1} [\partial_t E_s + v_{gs} \partial_x E_s]$$

$$\Delta_s^{res} \equiv \frac{\omega_s^2 - k_s^2 c^2 - \omega_{pe}^2}{2\omega_s}$$

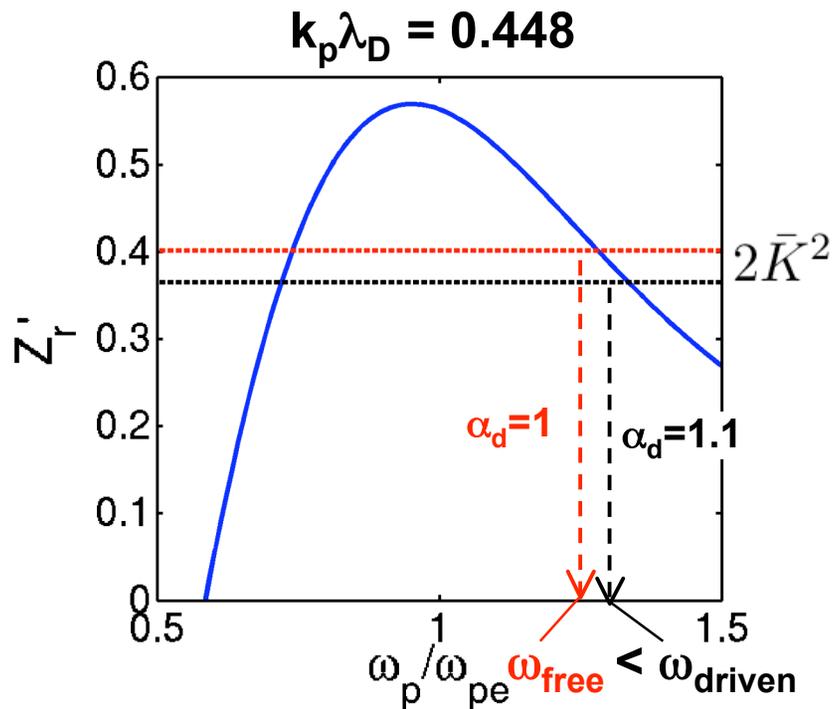
**Nonlinear enhancement of growth rate *and* detuning of scattered wave *both* important!**

# Ponderomotive drive in the plasma wave dispersion relation increases its frequency compared to a free wave

Driven dispersion relation:  $1 + \alpha_d \text{Re}\chi = 0; \quad \alpha_d > 1$

Free dispersion relation:  $1 + \text{Re}\chi = 0; \quad \alpha_d \rightarrow 1 \quad \text{“resonance”}$

Linear theory:  $Z'_r(\zeta) = 2\bar{K}^2$        $\bar{K} \equiv \frac{k_p \lambda_D}{\sqrt{\alpha_d}}$        $\zeta \equiv \frac{\omega_p}{k_p v_{Te} \sqrt{2}}$



**Inflation:**

**As the wave grows, trapping reduces Landau damping:**

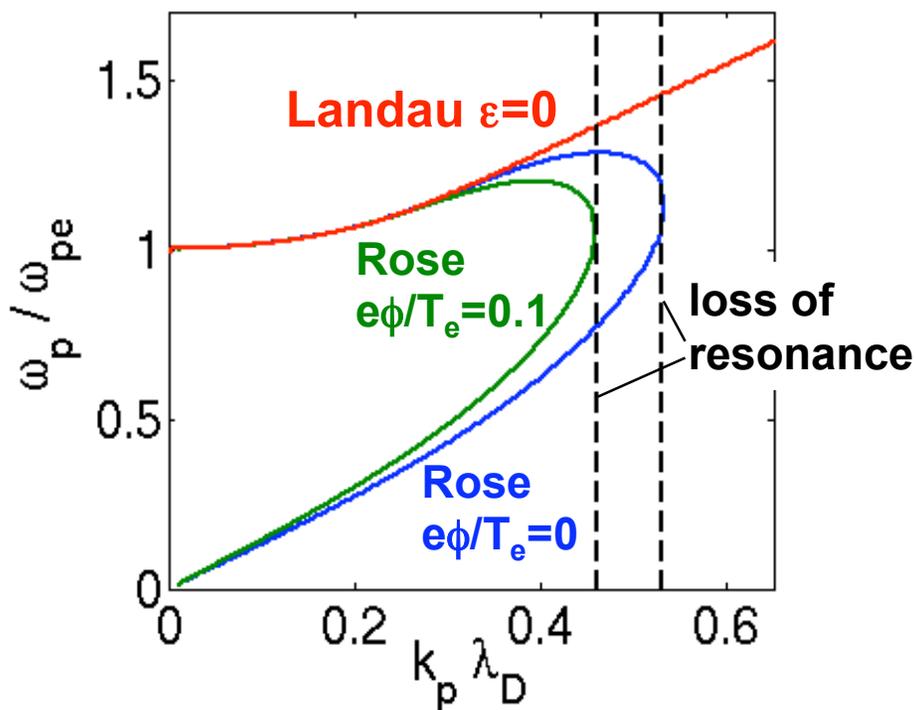
$$\text{Im}[\chi] \searrow \gg \eta \nearrow \gg \alpha_d \rightarrow 1 \gg \omega_p \rightarrow \omega_{\text{free}}$$

$$\alpha_d \equiv \frac{1 + 2\eta^{-1} \sin(\delta\varphi) + \eta^{-2}}{1 + \eta^{-1} \sin(\delta\varphi)}$$

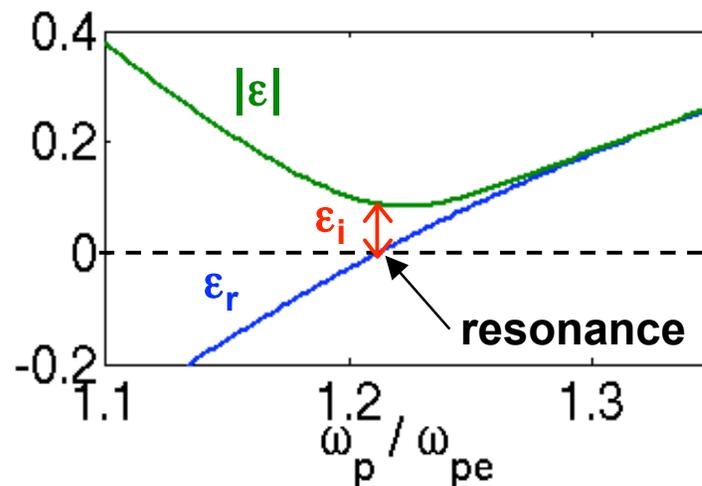
$$\eta \equiv \frac{E_p}{E_d} = \frac{\text{Re}\chi}{\text{Im}\chi} \cos \delta\varphi - \sin \delta\varphi$$

# “Loss of resonance” found in theories that neglect amplitude dependence of phase velocity

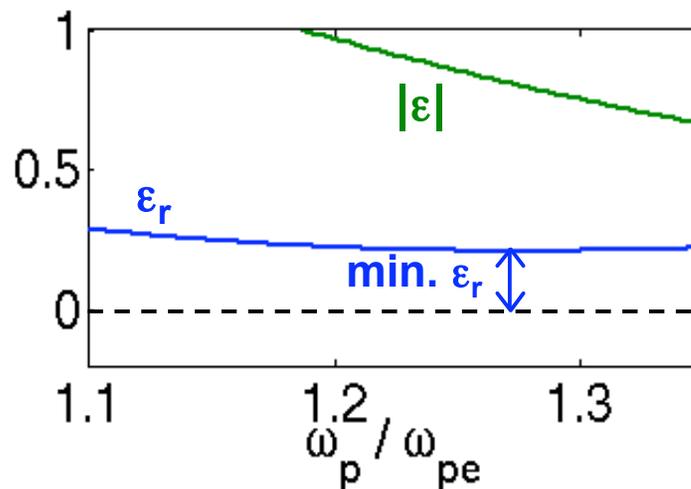
Rose dispersion relation<sup>1</sup>:  
 $\epsilon_r = 1 + \text{Re}[\chi] = 0$



$k_p \lambda_D = 0.35$ : resonance exists:  
trapping can cause inflation



$k_p \lambda_D = 0.6$ : loss of resonance:  
inflation harder due to  $\epsilon_r$



**Inflation:**  
Trapping flattens  $f$ ,  
reduces  $\epsilon_i$   
→ gain increases

$$\text{SRS gain} \sim \frac{1}{|\epsilon|^2}$$

<sup>1</sup>H. A. Rose and D. A. Russell, Phys. Plasmas 8, 4784 (2001).