

# INTERPLAY OF ELECTRON TRAPPING AND INHOMOGENEITY IN RAMAN SCATTERING

---

David J. Strozzi<sup>1\*</sup>, A. Bers<sup>1</sup>, E. A. Williams<sup>2</sup>, A. B. Langdon<sup>2</sup>

<sup>1</sup> *Massachusetts Institute of Technology  
Cambridge, MA 02139*

<sup>2</sup> *Lawrence Livermore National Laboratory  
Livermore, CA 94550*

Work supported by US Dept. of Energy

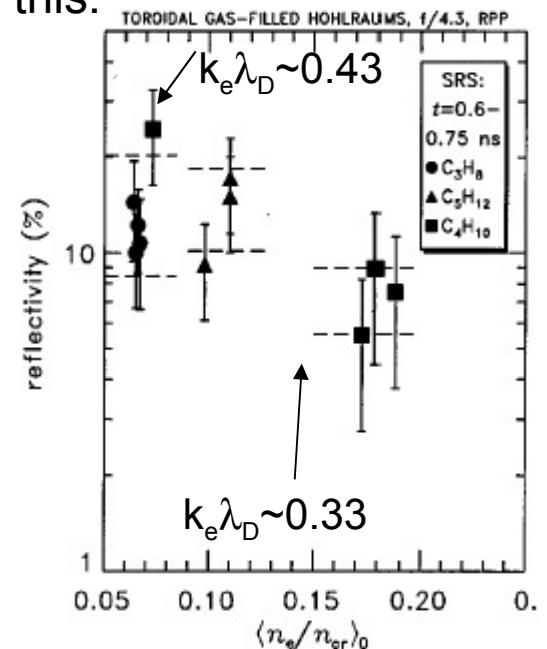
Anomalous Absorption 2005  
Fajardo, Puerto Rico  
Poster RP14  
30 June 2005

---

\*dstrozzi@mit.edu. Poster available at [web.mit.edu/dstrozzi/www/](http://web.mit.edu/dstrozzi/www/)

# Is Stimulated Raman Scattering (SRS) a concern in ICF ignition plasmas?

- Linear theory: SRS convective, needs long plasmas to grow due to Landau damping. Recent experiments<sup>1</sup> and reduced PIC simulations<sup>2</sup> contradict this.
- ELVIS: 1-D Vlasov-Maxwell solver for studying SRS.
- SRS from homogeneous plasma: bursty reflectivity,  $\gg$  linear theory,  $f_e$  shows vortices and flattening.
- Electron trapping:  
enhances SRS by reducing Landau damping;  
nonlinearly shifts plasma wave frequency
- Driven plasma wave:  
response to fixed force reveals nonlinear dielectric properties
- Inhomogeneity:  
wavevector mismatch interacts with nonlinear shift from trapping



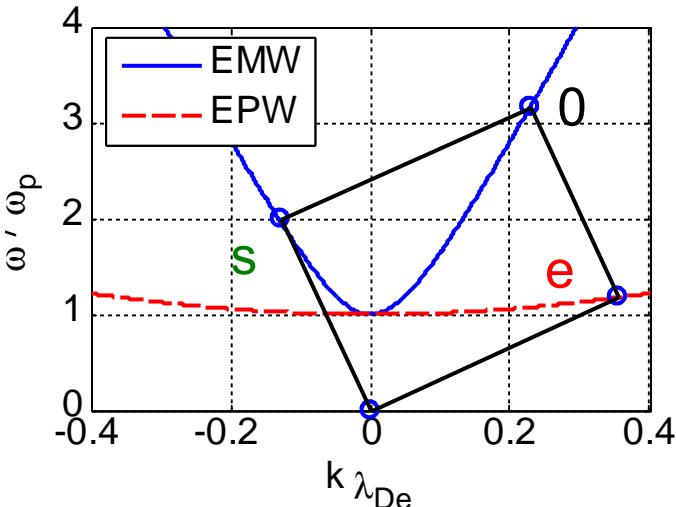
[from ref. 1]

<sup>1</sup>J. C. Fernández et al. *Phys Plasmas* **7**, 3743 (2000).

<sup>2</sup>H. X. Vu, D. F. DuBois, B. Bezzerides. *Phys Plasmas* **9**, 1745 (2002).

...maybe!

# Raman scatter couples a pump light wave (0) to a Scattered Light Wave (s) and Plasma Wave (e)



$\omega$  and  $k$  (energy, momentum) matching

$$\omega_0 = \omega_s + \omega_e \quad \vec{k}_0 = \vec{k}_s + \vec{k}_e$$

## Coupled-Mode Equations

$$\begin{aligned} D_0 a_0 &= K a_s a_e \\ D_s a_s &= -K a_0 a_e^* \\ D_e a_e &= -K a_0 a_s^* \end{aligned}$$

$$\begin{aligned} D_i &= \partial_t + \vec{v}_{gi} \cdot \nabla + \gamma_i \\ \vec{v}_{gi} &= \text{group vel.} \\ \gamma_i &= \text{damping} \end{aligned}$$

$$0 \quad (\partial_{tt} - c^2 \nabla^2 + \omega_p^2) \vec{V}_0 = -\omega_p^2 \frac{n_e}{n_0} \vec{V}_s$$

$$s \quad (\partial_{tt} - c^2 \nabla^2 + \omega_p^2) \vec{V}_s = -\omega_p^2 \frac{n_e}{n_0} \vec{V}_0$$

$$e \quad (\partial_{tt} - 3v_{Te}^2 \nabla^2 + \omega_p^2) n_e = n_0 \nabla^2 \vec{V}_0 \cdot \vec{V}_s$$

wave operator

parametric coupling

Light waves driven by current density  $\sim n_e V$

plasma wave driven by light-wave vxB force

# Instability Thresholds vary strongly w/ $\gamma_e$ (Landau damping)

$$E_i \sim a_i(x,t) \exp i(k_i x - \omega_i t) + \text{c.c.}$$

Slowly-varying amplitude      Rapid oscillation

Instability threshold:  $\gamma_0 > \sqrt{\gamma_s \gamma_e} \rightarrow I_0 > I_{\text{con}}$

Absolute instability threshold:

$$\gamma_0 > \frac{1}{2} \sqrt{|v_{gs} v_{ge}|} \left( \frac{\gamma_s}{|v_{gs}|} + \frac{\gamma_e}{|v_{ge}|} \right) \rightarrow I_0 > I_{\text{abs.}}$$

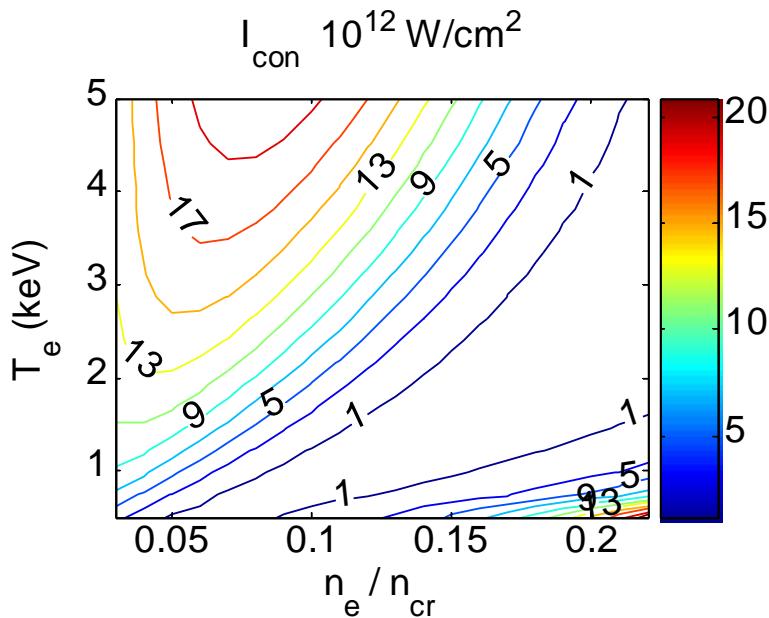
Linear ( $a_0 = \text{const.}$ ) temporal growthrate:

$$\gamma = -\frac{1}{2}(\gamma_s + \gamma_e) + \sqrt{\gamma_0^2 + \frac{1}{4}(\gamma_s - \gamma_e)^2}$$

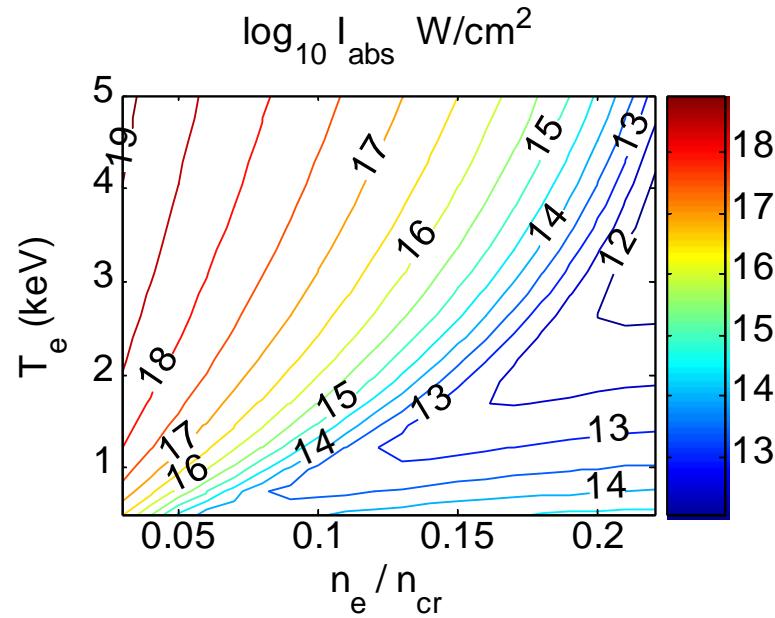
$$\gamma_0 = \frac{\omega_p}{4\sqrt{\omega_s \omega_e}} k_e v_{os,0}$$

$$= 2.0 \frac{\omega_p}{\sqrt{\omega_s \omega_e}} k_e \lambda_0 \sqrt{I_{0,15}} \quad (\text{1/ps})$$

$\lambda_0 = 351 \text{ nm}, 50\text{-}50 \text{ H-He } T_i = T_e / 3$

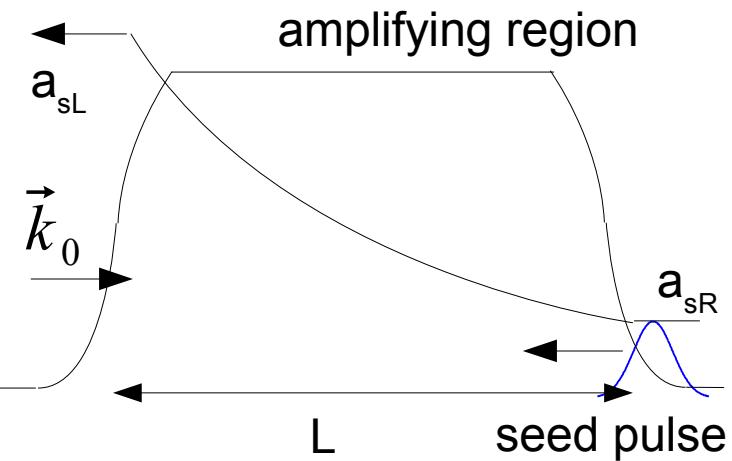


NIF  $I_0$ : beam avg  $\sim 5 \cdot 10^{14}$  speckle  $\sim 5 \cdot 10^{15}$

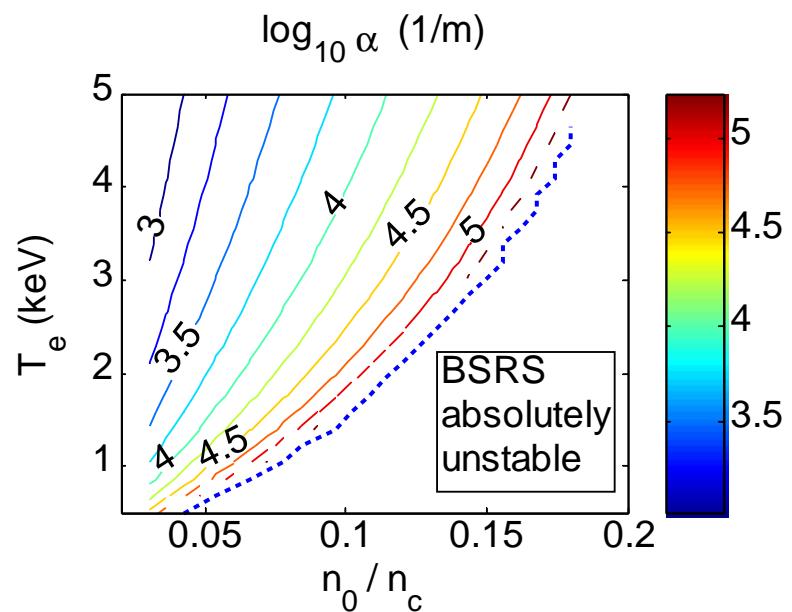


# Strong Landau damping gives mild convective gain

## Convective Steady State



$$a_{sL} = a_{sR} e^G \quad G = \alpha L$$



$$I_0 = 2E15 \text{ W/cm}^2 \quad \lambda_0 = 351 \text{ nm}$$

Strong damping limit :  $|\alpha_e a_e| \gg |\partial_x a_e|$

$$\alpha \approx \frac{a_0^2}{\alpha_e}$$

$$\alpha_0 \approx \frac{\gamma_0}{\sqrt{\nu_{gs} \nu_{ge}}}$$

## Linear Theory Predicts:

In ICF hohlraums, BSRS is a convective instability needing long plasmas to grow due to strong Landau damping

# ELVIS: EuLerian Vlasov Integrator with Splines 1-D Vlasov-Maxwell Solver

[D. J. Strozzi, M. M. Shoucri, A. Bers, *Comp Phys Comm* **164**/1-3 (2004)]  
 [A. Ghizzo, P. Bertrand, M. M. Shoucri *et al.*, *J Comp Phys* **90** (1990)]



- Kinetic equation in  $x$ :

$$\frac{\partial f}{\partial t} + v \frac{\partial f}{\partial x} + (E_x + v_y B_z) \frac{\partial f}{\partial p} = \boxed{-v_K(x)(f - n \hat{f}_{0K})}$$

Krook operator

- Gauss' Law:

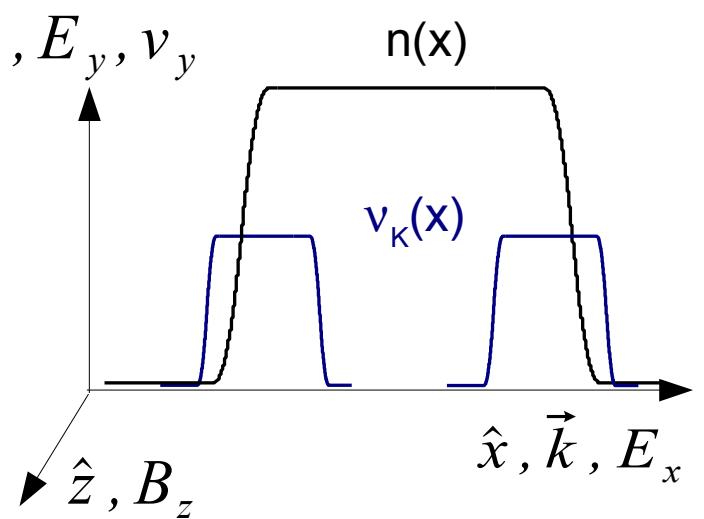
$$\partial_x E_x = e \epsilon_0^{-1} (Z_i n_i - n_e)$$

- Transverse flow: cold collisionless fluid

$$m_e \partial_t v_y = -e E_y$$

- Transverse Maxwell Fields: linearly polarized in  $y$

$$E^\pm \equiv E_y \pm c B_z \quad (\partial_t \pm c \partial_x) E^\pm = -\epsilon_0^{-1} J_y \quad E^\pm = \text{right, left moving}$$

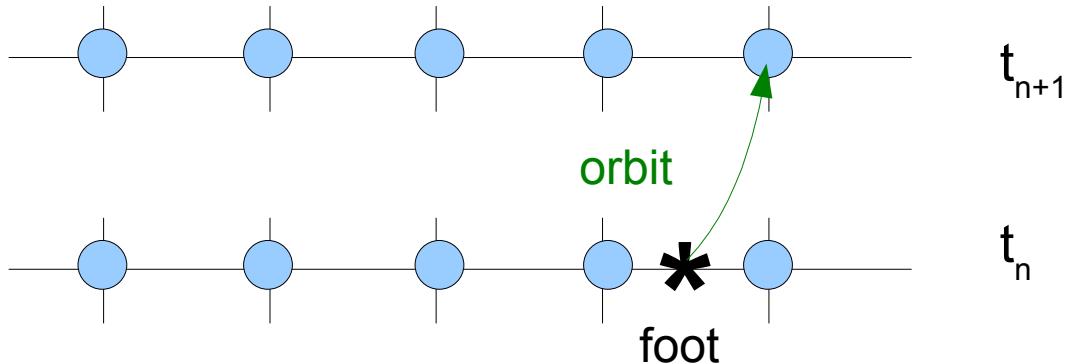


# Numerical Algorithm: Vlasov vs. PIC

Continuum (“Vlasov,” Eulerian) method: Treat  $f$  as phase-space fluid; no discreteness  
Particle-in-Cell (PIC, Lagrangian) method: Follow macro-particles; discreteness effects

Continuum methods have much lower numerical noise and can study small-amplitude dynamics better; however, they require enormous resources to grid, e.g., 6-D phase space.

Vlasov eqn:  $f$  constant along orbits  $X(t), P(t)$



$$\frac{dX}{dt} = V \quad \frac{dP}{dt} = F$$

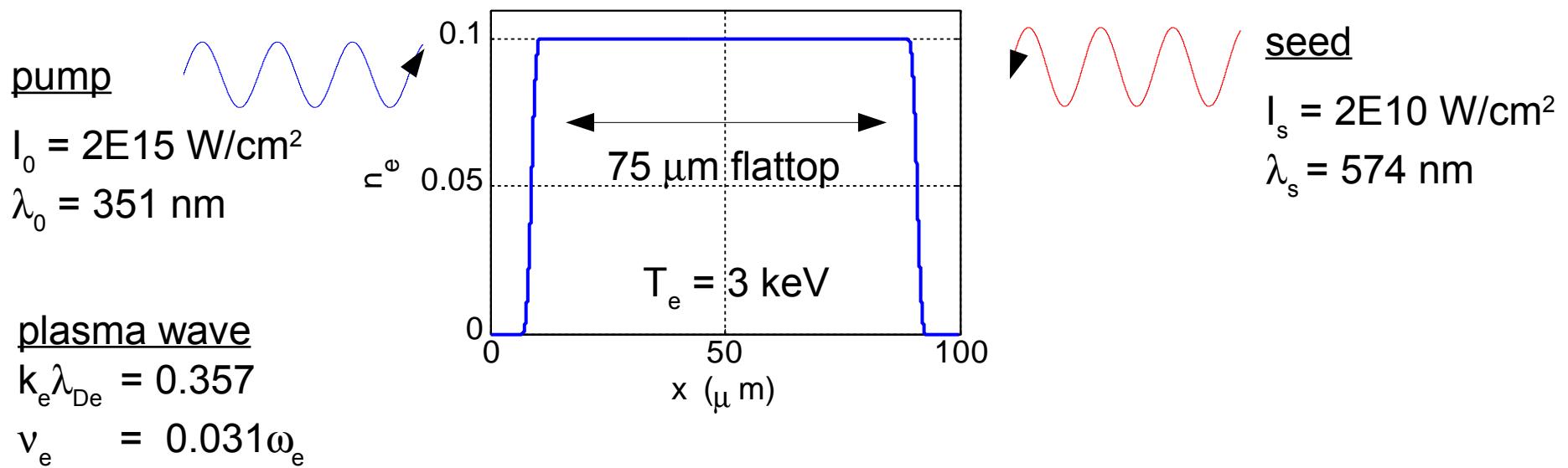
The diagram shows a blue circle labeled "# here now" at a new position, connected by a curved arrow to a blue circle labeled "# here initially". The arrow is labeled "orbit". Below the arrows, text states "new f at gridpoint = old f at orbit foot".

Operator Splitting: [C. Z. Cheng, G. Knorr, *J Comp Phys* **22** (1976)]

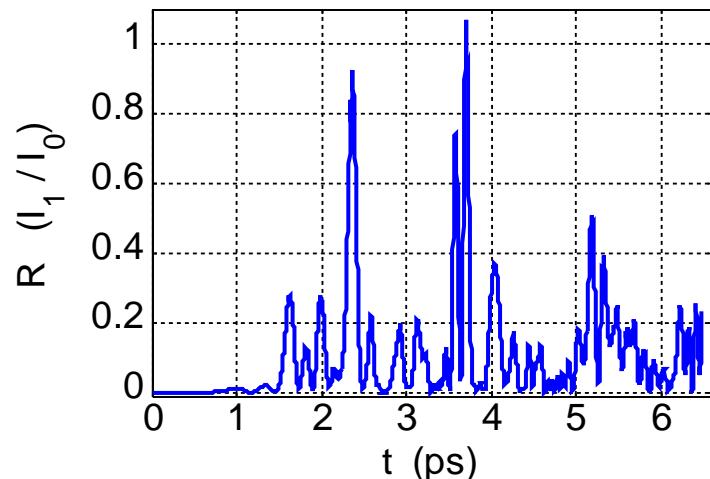
1.  $(\partial_t + v\partial_x)f = 0 \rightarrow f^*(x, p) = f(x - v dt, p, t_{n+1})$
2.  $(\partial_t + F\partial_p)f = 0 \rightarrow f(x, p, t_{n+1}) = f^*(x, p - F dt)$

Use cubic spline interpolation for  $f$  at foot  $\rightarrow$  tri-diagonal system

# Homogeneous plasma: SRS well above linear gain



Reflectivity at left edge  
avg. (1:6 ps) = 13%

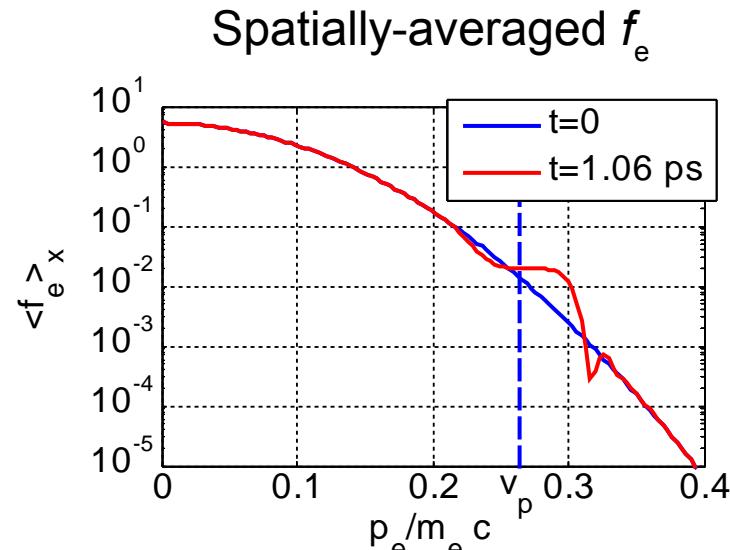
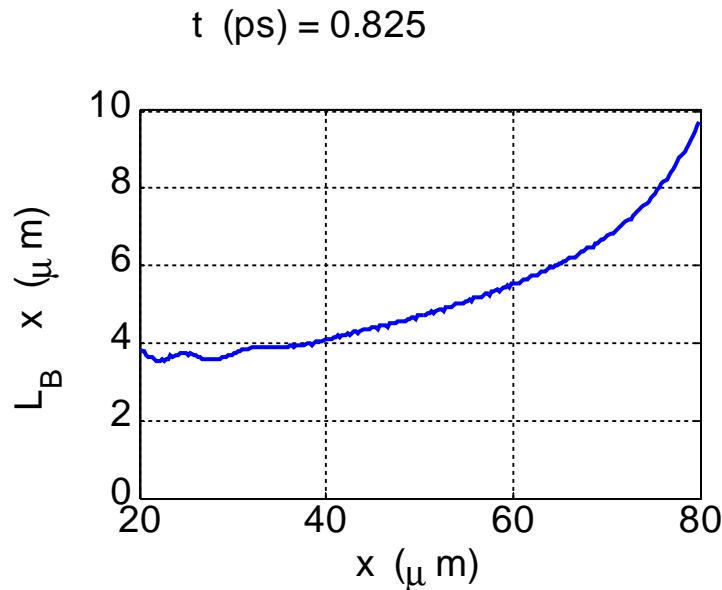


linear theory:

$$R = 0.0173\% \\ \alpha^{-1} = 52.6 \mu\text{m}$$

Run SRS is bursty, no steady state

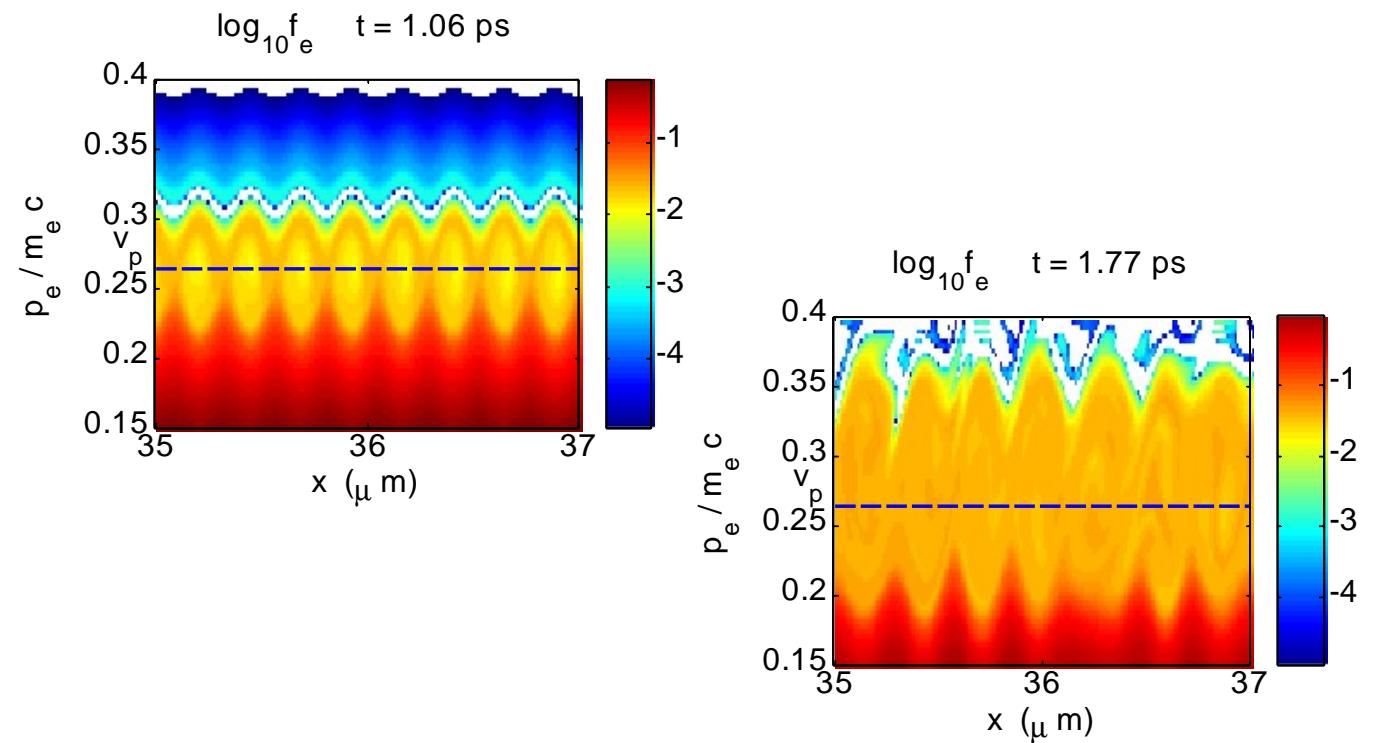
# Homogeneous plasma: trapping happens, $f_e$ flattened



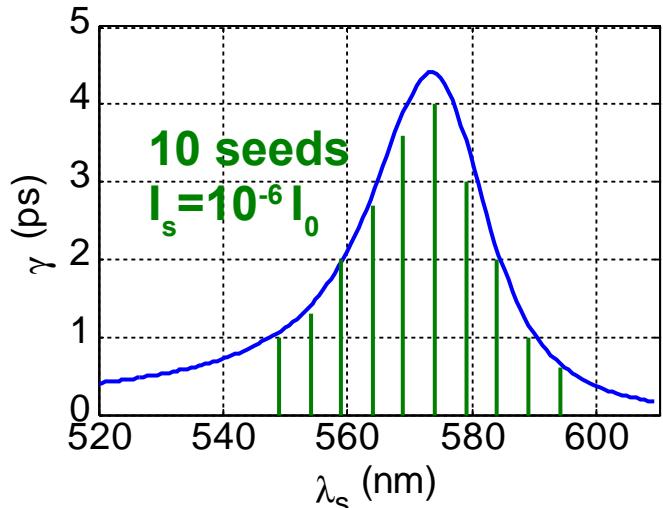
$$\omega_B = \omega_p \left( \frac{\delta n}{n_0} \right)^{1/2}$$

$$k_B = \frac{\omega_B}{v_{pe}}$$

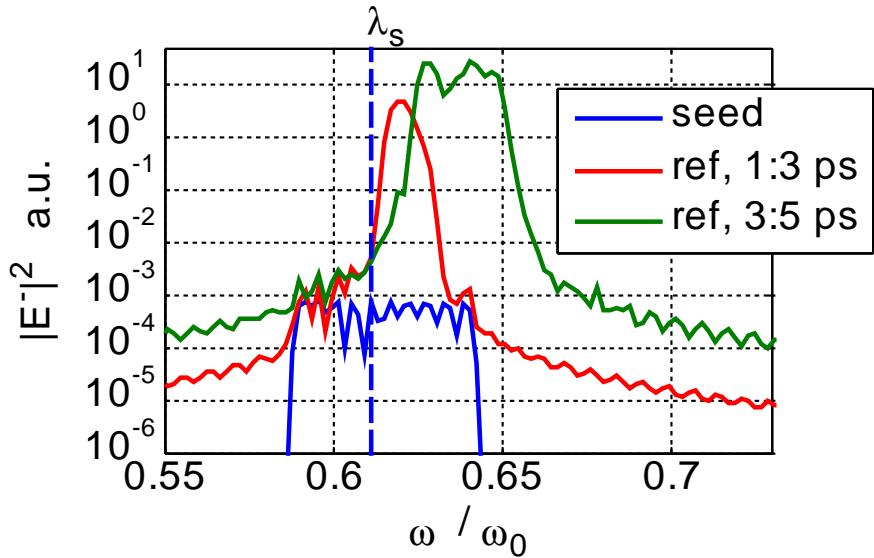
$$L_B = \frac{2\pi}{k_B}$$



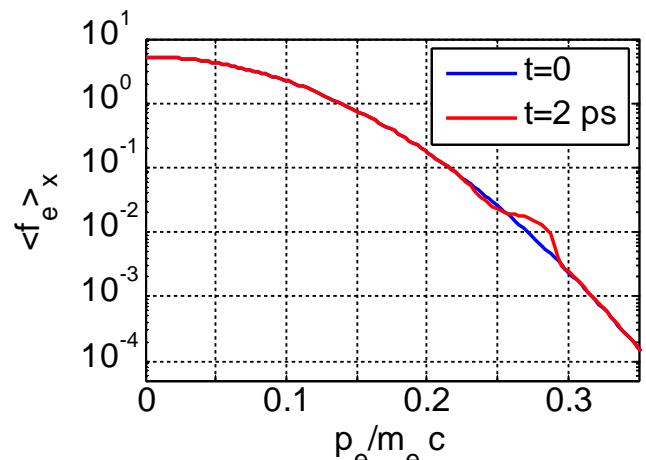
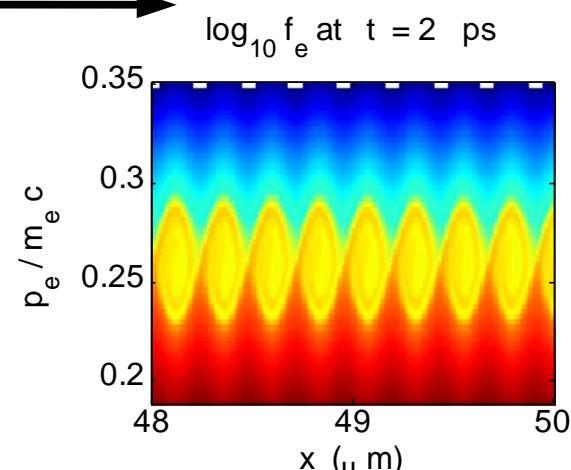
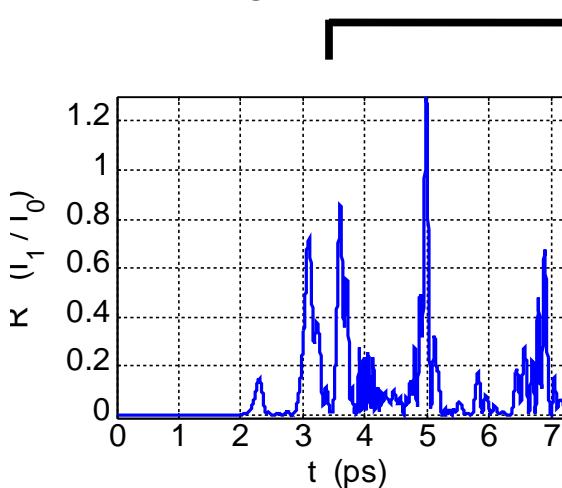
# Seed bandwidth: trapping at first by fast-growing mode



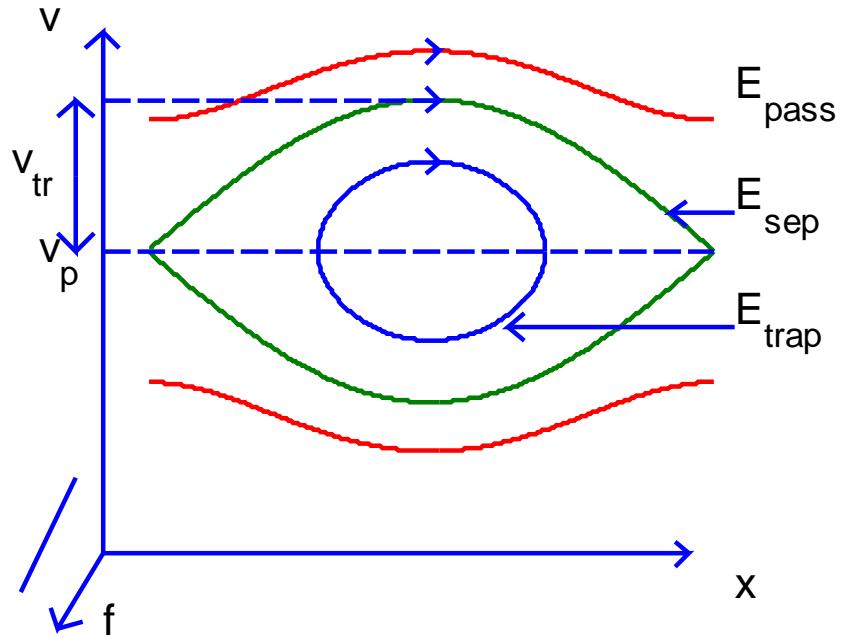
$$\epsilon(k_e, \omega_e) = \frac{\chi k_e^2 v_{os,0}^2 / 4}{c^2(k_0 - k_e)^2 + \omega_p^2 - (\omega_0 - \omega_e)^2}$$



avg R (2:7 ps) = 14%



# Electron Trapping Reduces Landau Damping



$$\omega_B = \left( \frac{ekE_0}{m_e} \right)^{1/2} = \omega_p \left( \frac{\delta n}{n_0} \right)^{1/2}$$

$$v_{tr} = 2 \left( \frac{eE_0}{m_e k} \right)^{1/2} \approx 2v_p \left( \frac{\delta n}{n_0} \right)^{1/2}$$

$$k_B = -\frac{\omega_B}{v_p} \approx -k \left( \frac{\delta n}{n_0} \right)^{1/2}$$

Periodic electrostatic run (no light waves)

$$\delta n/n_0 = 0.005$$

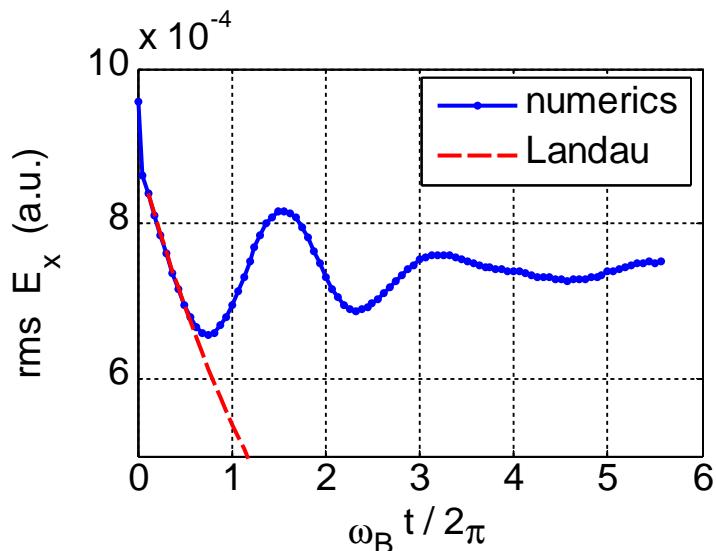
$$k\lambda_D = 0.273$$

$$T_e = 1 \text{ keV}$$

$$n_0 = 5E26 \text{ m}^{-3}$$

$$\omega_B = 0.0707\omega_p$$

Damping reduced once trapped electrons bounce  
 [T. O'Neil, *Phys Fluids* 8, 1965]

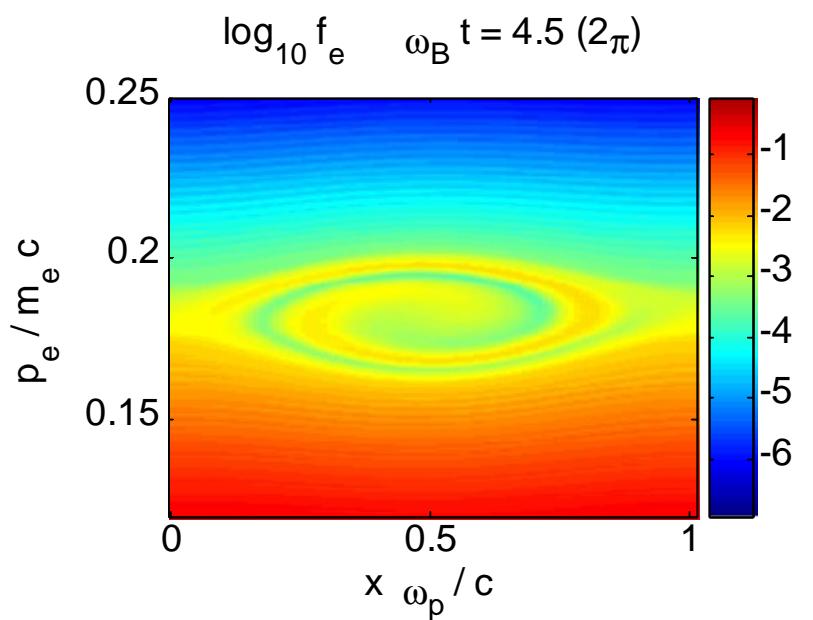
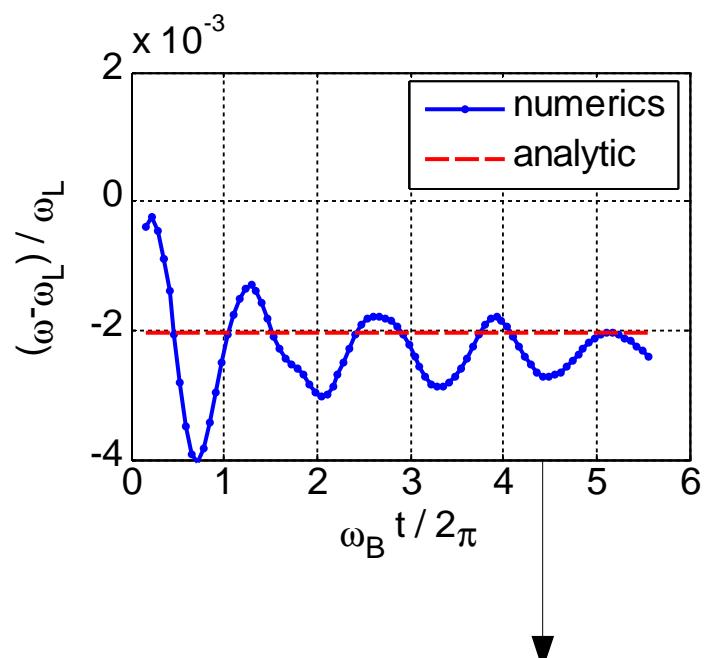
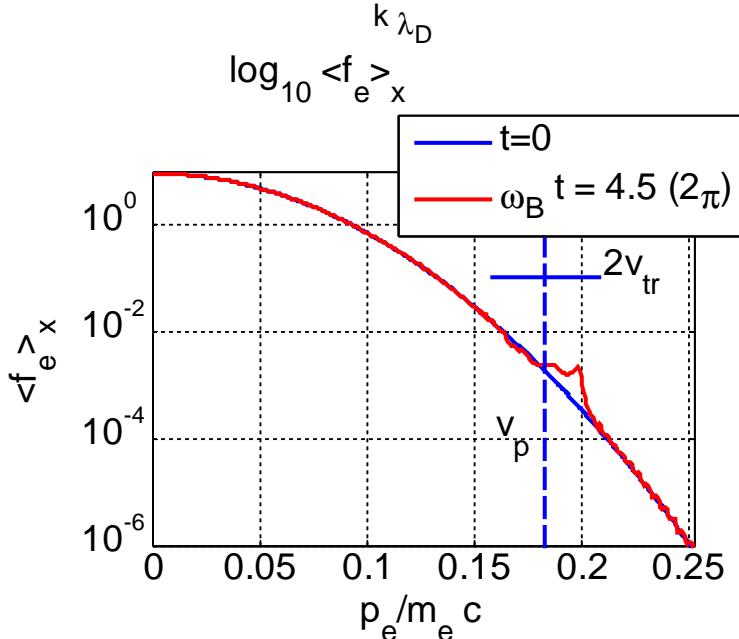
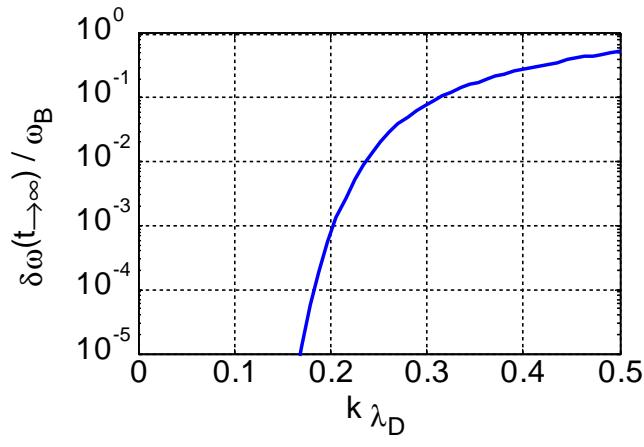


# Trapping Also Downshifts Wave Frequency

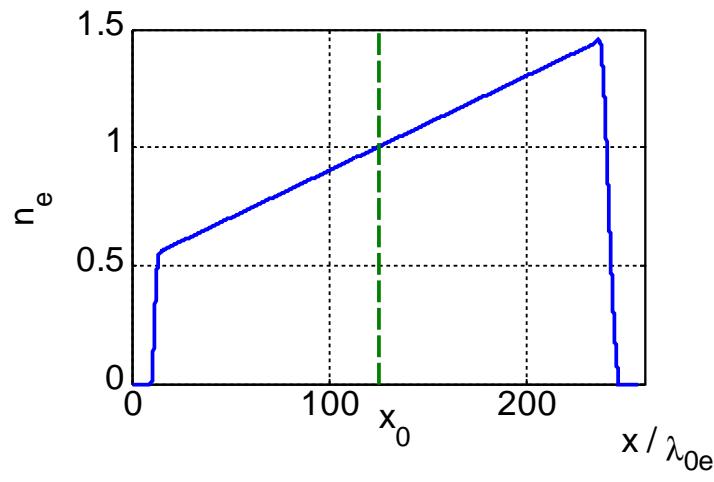
$$\frac{\delta\omega(t \gg \tau_B)}{\omega_B} = -1.645 \left( \frac{\omega_p}{k} \right)^3 \frac{\hat{f}_0''}{\omega_L \partial \epsilon_r / \partial \omega_L}$$

[G. J. Morales and T. M. O'Neil. *PRL* **28**, 417 (1972)]

$$f_0 \text{ Maxwell.} \rightarrow 1.856 \frac{2\zeta^2 - 1}{Z_r''(\zeta)} e^{-\zeta^2} \quad \zeta = \frac{v_p}{v_T \sqrt{2}}$$



# Plasma Response to External Driver: Theory



full  $\leftarrow$   $\varepsilon = \varepsilon_l + \delta\varepsilon$   $\begin{matrix} \text{lin} \\ \rightarrow \\ \text{nonlin} \end{matrix}$

linear mode

$$\varepsilon_l(k_{el}) = 0$$

$$k_{el} = k_{elr} + i\sigma_{el}$$

nonlin. mode

$$\varepsilon(k_{en}) = 0$$

$$k_{en} = k_{el} + \delta k_e$$

$$\delta k_e = -\frac{\delta\varepsilon}{\partial\varepsilon_l/\partial k_{el}}$$

Driver:

$$\phi_0 = \tilde{\phi}_0 \exp i(k_0 x - \omega_0 t) + c.c.$$

Steady State:

$$\varepsilon(\omega_0, k_0 - i\partial_x)\tilde{\phi} = \tilde{\phi}_0$$

strong damping limit ( $\partial_x = 0$ ):

$$\begin{aligned}\tilde{\phi} &= \frac{\tilde{\phi}_0}{\varepsilon_l(k_0) + \delta\varepsilon(k_0)} \\ &\approx \frac{\tilde{\phi}_0 / \partial\varepsilon_l/\partial k_l}{k_0 - k_{lr} - i\sigma_l - \delta k_{nl}}\end{aligned}$$

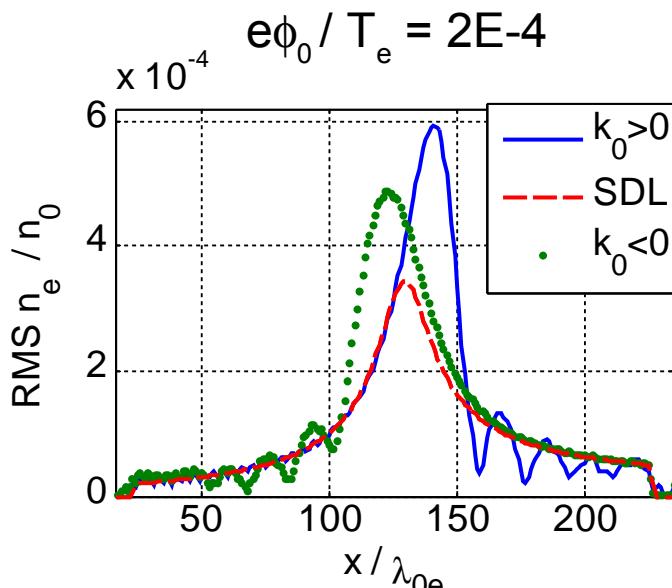
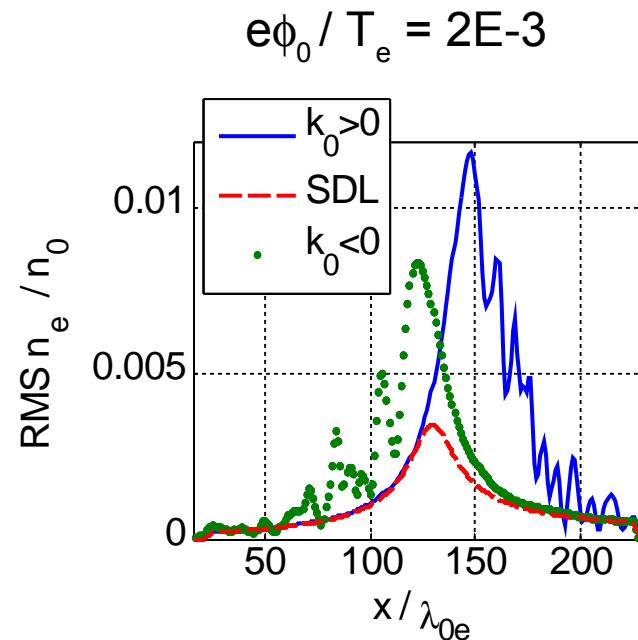
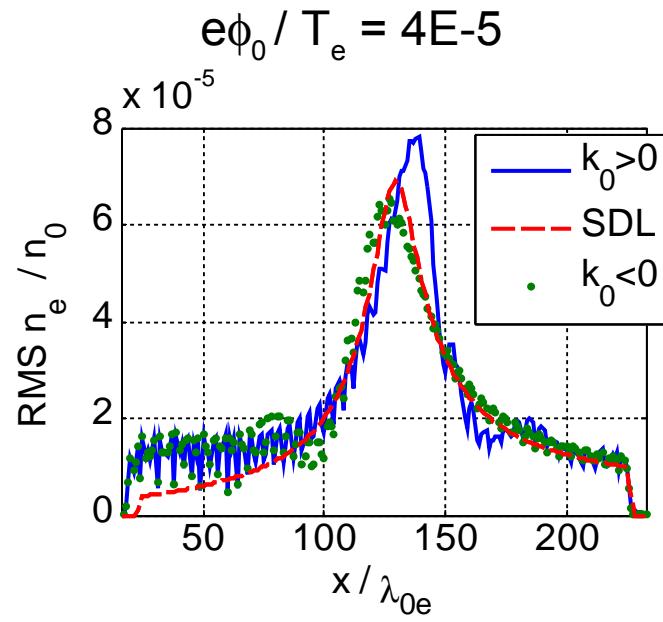
Including advection:

$$\begin{aligned}\varepsilon(k_e - i\partial_x) &\approx \varepsilon(k_e) - i \frac{\partial\varepsilon}{\partial k_e} \partial_x \\ &\approx \frac{\partial\varepsilon_l}{\partial k_{el}} (-i\partial_x + \kappa(x) - i\sigma_{el} - \delta k_e)\end{aligned}$$

advection      mismatch      damping      shift  
 $\kappa = k_0 - k_{lr}$

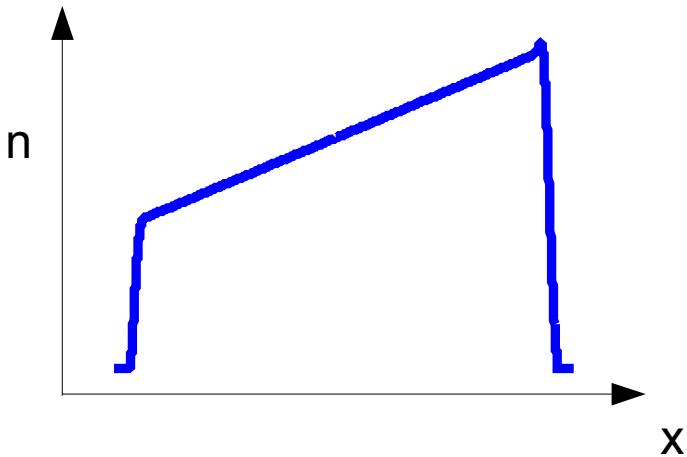
# Plasma Response to External Driver: Simulation

$k_0 > 0, k_0 < 0$ : EPW propagates to the right, left



- Advection gives left/right asymmetry?
- What is the nonlinear k shift, damping rate for a driven wave?
- Use this model for  $\delta\varepsilon$  in full SRS problem

# Inhomogeneous plasma: $k$ 's vary with $x$



full  
lin  
 $\epsilon = \epsilon_l + \delta\epsilon$   
nonlin

## linear mode

$$\epsilon_l(k_{el}) = 0$$

$$k_{el} = k_{elr} + i\sigma_{el}$$

## nonlin. mode

$$\epsilon(k_{en}) = 0$$

$$k_{en} = k_{el} + \delta k_e$$

$$\delta k_e = -\frac{\delta\epsilon}{\partial\epsilon_l/\partial k_{el}}$$

$\omega_e = \omega_0 - \omega_s$	matching
$k_e(x) = k_0(x) - k_s(x)$	beat mode (not n.m.)
$\kappa(x) \equiv k_e(x) - k_{elr}(x)$	mismatch

Steady state, light undamped:

$$\partial_t = v_0 = v_s = 0$$

$$v_{g0}a'_0 = K a_s a_e$$

$$v_{g1}a'_1 = -K a_0 a_e^*$$

$$\epsilon(k_e - i\partial_x, \omega_e) a_e = 2i \frac{\omega_2}{\omega_{p0}^2} \chi K a_0 a_s^*$$

$$\epsilon(k_e - i\partial_x) \approx \epsilon(k_e) - i \frac{\partial\epsilon}{\partial k_e} \partial_x$$

$$\approx \frac{\partial\epsilon_l}{\partial k_{el}} (-i\partial_x + \kappa(x) - i\sigma_{el} - \delta k_e)$$

advection      mismatch      damping      shift

# Strong damping limit: neglect plasma wave advection

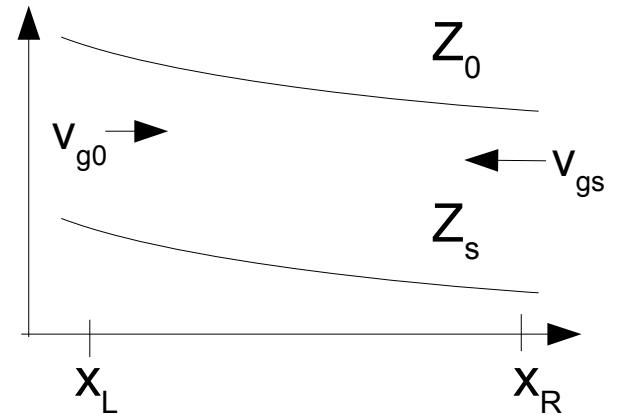
$$\begin{aligned} |\partial_x a_e| &\ll |(\kappa + i\sigma_{el})a_e| \\ \rightarrow \varepsilon(k_e - i\partial_x) &\approx \varepsilon(k_e) \\ a_e &\approx 2i \frac{\omega_e}{\omega_{p0}^2} \frac{\chi_l(k_e)}{\varepsilon_l(k_e)} K a_0 a_s^* \end{aligned}$$

energy density	$W_i$
action density	$N_i = W_i/\omega_i = a_i a_i^*$
action flux	$Z_0 = \frac{N_0}{N_{0L}}$
	$Z_s = -\frac{v_{gs}}{v_{g0}} \frac{N_s}{N_{0L}}$

Linear scattered light solution ( $\delta k_e = 0$ )

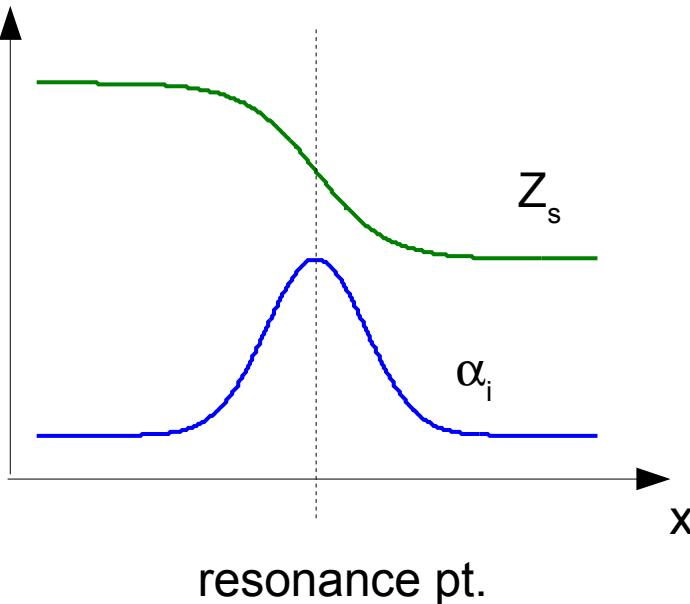
Manley-Rowe:  $Z_0 - Z_s = \text{const.}$

$$\begin{aligned} Z'_s &= -\alpha_i Z_0 Z_s \\ \alpha &= 4 \frac{\gamma_0^2 \omega_e}{|v_{gs}| \omega_{p0}^2} \frac{\chi_l(k_e)}{\varepsilon_l(k_e)} \quad \alpha_i > 0 \\ Z_s &= \hat{Z} \left[ \left( 1 + \hat{Z}/Z_{sR} \right) e^{-\hat{Z}G(x)} - 1 \right]^{-1} \\ &\approx Z_{sR} \exp \hat{Z} G(x) \quad \hat{Z} \equiv 1 - \hat{Z}_{sL} \\ G(x) &= - \int_x^{x_R} dx' \alpha_i(x') \end{aligned}$$

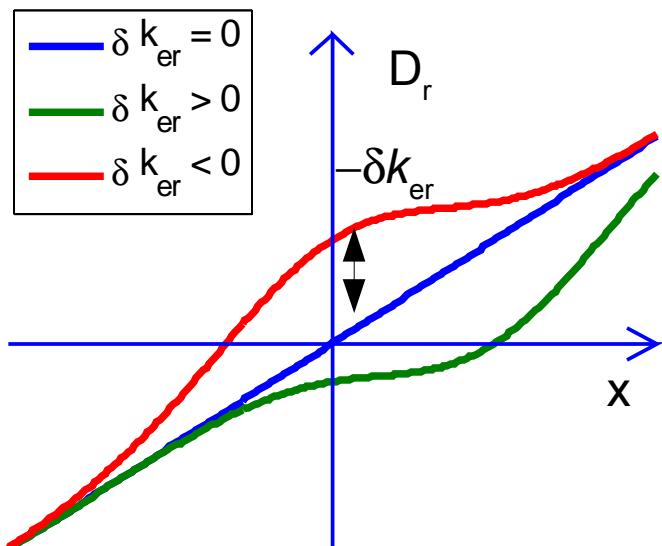


backscatter:  $v_{g0} > 0 \quad v_{gs} < 0$

# Nonlinear shift can reduce or enhance Raman



$\kappa' > 0$   
(pump left)



$$Z'_s = -\alpha_i Z_0 Z_s$$

$$\alpha_i \sim \frac{1}{\sqrt{D_r^2 + D_i^2}}$$

$$D_r = \kappa - \delta k_{er} \approx \kappa' x - \delta k_{er}$$

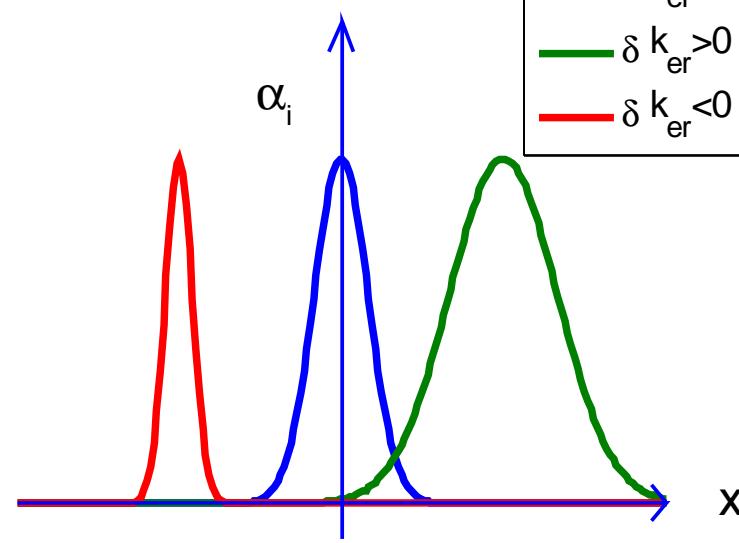
$$D_i = \sigma_{el} + \delta k_{ei}$$

$$Z_e \sim |\alpha|^2 Z_0 Z_s$$

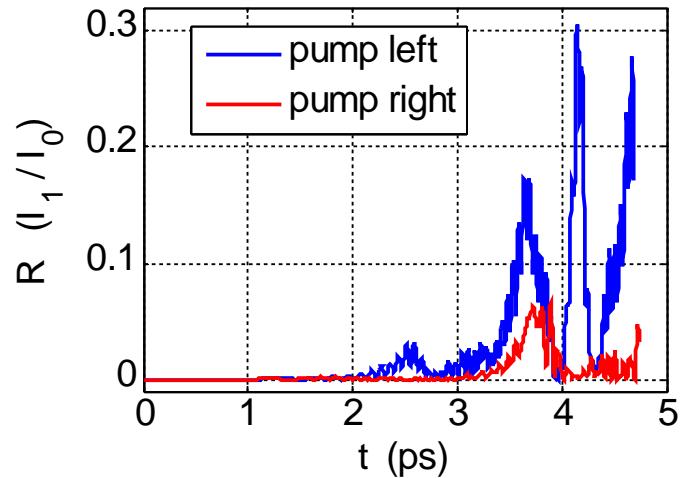
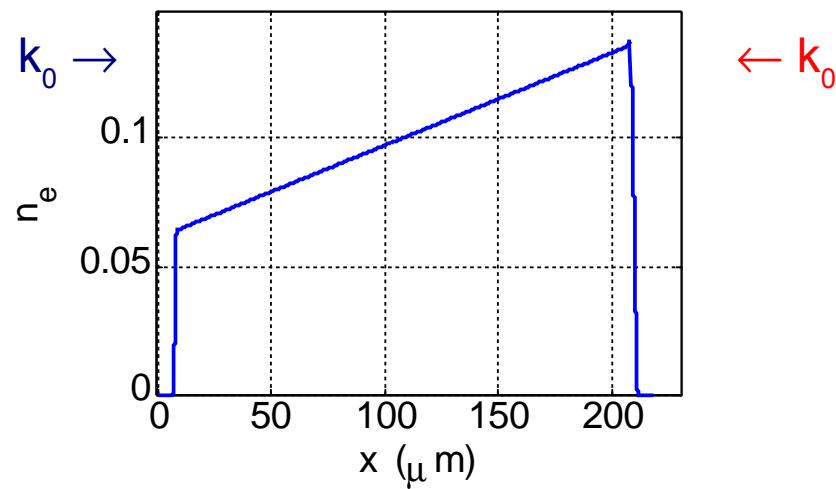
$$\delta k_{er} \sim Z_e^{1/4}$$

Effects of  $\delta k_e$ :

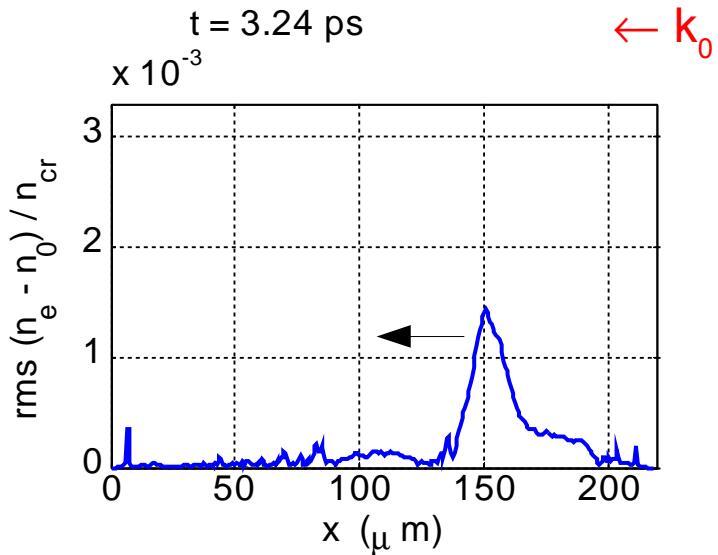
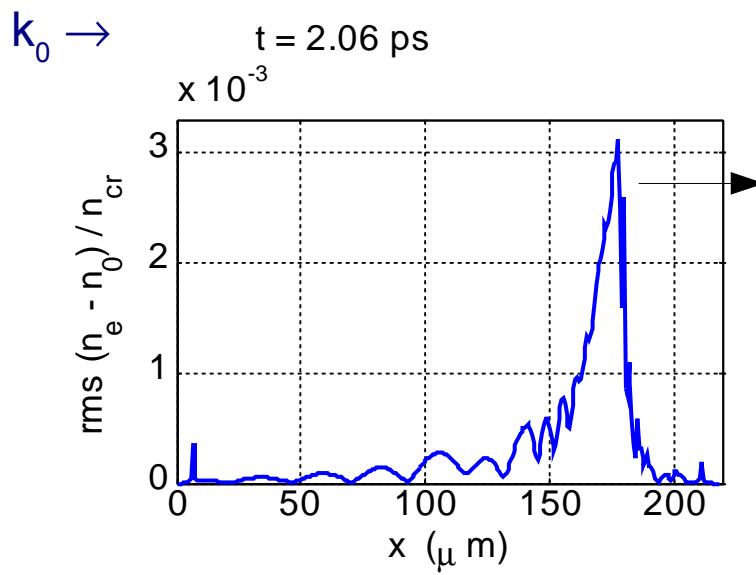
- Shifts resonance point (min.  $D_r$ )
- changes rate of passage through resonance (slope  $D_r$ )



# SRS in density gradient: plasma waves advect, large at edges



linear SDL Reflectivity = 1.2E-4



# Summary and Future Work

---

## Summary:

- **Linear theory** gives weak SRS due to high Landau damping.
- **Homogeneous plasma:** electron trapping reduces Landau damping, giving much larger reflectivity. This is robust against bandwidth.
- **Driven plasma waves** in inhomogeneous plasma show importance of advection, nonlinear shift, damping reduction; need accurate model.
- **Inhomogeneous SRS:** mismatch and nonlinear shift may counteract each other. Auto-resonance?

## Future work:

- **Sideloss** out of laser speckle or beam detraps electrons. Restores Landau damping for  $\gamma_{SL} > \omega_B$ . ELVIS has a Krook operator to study this.
- Are **ions** relevant? Does the Langmuir Decay Instability of the plasma wave saturate Raman? ELVIS has option for mobile ions.

## Comments/Reprints: Leave Name, Contact Info

---